

Reviewing Subrecipient Risk Assessment Data in GMAS

In April 2018 the risk assessment data previously housed in the subrecipient monitoring database was migrated into the GMAS as part of the external organization pages to provide continued facilitation of University-wide compliance with subrecipient monitoring. This document provides guidance on how to access and view this data
Note: Only certain OSP/SPA/ORA users can edit the risk assessment panels. However, all users with GMAS Observer access can view the following:

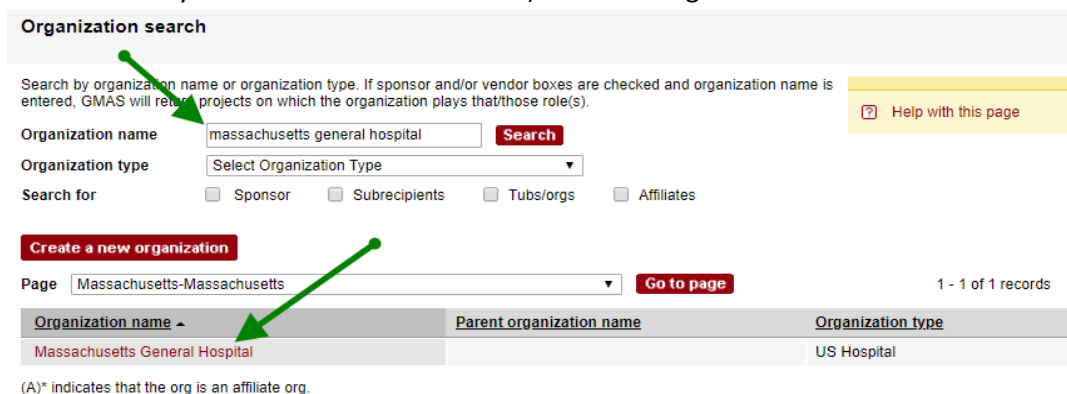
- Organization risk status (red, yellow or green)
- Organization risk assessment comments
- Risk assessment resources
- University Subrecipient Monitoring Committee (USMC) comments
- Related segments (organization demographics)

How to Find and Review Subrecipient Organization Risk Assessment Data

1. Navigate to the Organization through the link on the upper left GMAS homepage (or through the organization links in a project record):



2. Perform a search for the subrecipient organization record by looking up the organization name (try partial name or acronym of no results are returned) and selecting the linked name in results:

A screenshot of the GMAS Organization search interface. The search bar contains 'massachusetts general hospital' and the 'Search' button is highlighted with a green arrow. Below the search bar, there are options for 'Organization type' and 'Search for' (Sponsor, Subrecipients, Tubs/orgs, Affiliates). A 'Create a new organization' button is also visible. Below the search results, there is a table with one record: 'Massachusetts General Hospital' with the type 'US Hospital'. A green arrow points to the organization name in the table. A 'Page' dropdown shows 'Massachusetts-Massachusetts' and a 'Go to page' button. The text '1 - 1 of 1 records' is displayed. A note at the bottom states '(A)* indicates that the org is an affiliate org.'

- Click on the expand icon on the right side of the Risk Assessments panel to view the rating for each assessment performed:

Massachusetts General Hospital Related links Info Actions

Open all | Close all

Related segments 1424 total +

Risk assessments -

Audit organization information +

HU Fiscal year ▾	FY Reviewed ⇅	Risk assessment status ⇅	Risk assessment date ⇅	Next assessment date ⇅
2017	2016	Green	Jun 19, 2017	+
2016	2015	Green	May 12, 2016	+
2015		Green	Jun 15, 2015	+

In this view, the following information is provided:

HU Fiscal Year: Harvard University’s fiscal year. This is the fiscal year in which the assessment was performed.

FY Reviewed: This is the fiscal year related to the subrecipient organization’s data used to perform the assessment.

Risk assessment status: This is the color rating assigned to organization as part of the assessment. *Green (low risk), Yellow (medium risk) or Red (high risk)* see the [Subrecipient Monitoring Toolkit](#) for guidance.

Risk assessment date: Date the initial assessment was finalized by the assessment team.

Next assessment date: This field is used to identify the date for when the next assessment of the organization should be completed. For entities subject to single audit, assessments will be required at a minimum of every three years depending on the risk rating. If a single audit entity is assessed and deemed to be high risk, then annual assessments will be conducted until they are no longer deemed high risk. For non-single audit entities, assessments will be required every year. Please note that subrecipient organizations with no current activity do not require an assessment even if a next assessment date is present.

4. Each assessment record can also be expanded to view further details about how the rating was derived:

Massachusetts General Hospital

Related links | Info | Actions

Related segments 1424 total

Risk assessments

Audit organization information Edit

HU Fiscal year	FY Reviewed	Risk assessment status	Risk assessment date	Next assessment date
2017	2016	Green	Jun 19, 2017	
Reviewer's name Christopher Finn				
Assessment data Federal Audit Clearinghouse form 2016, 2016 single audit report				
Annual review Partners Heathcare had one federal award finding related to two instances of upgrading airfare from standard accommodations and a \$6.25 alcohol charge. The corrective action plan to reemphasize federal regulations and institutional policies and procedures for airfare and alcohol costs is adequate. The finding did not relate to any Harvard subaward. I see no reason to change the assessment from green.				
HUSMC approval date 				
HUSMC approval 				
HUSMC quarterly comments 				
2016	2015	Green	May 12, 2016	
2015		Green	Jun 15, 2015	

In this view, the following information is provided:

Reviewer's Name: Name of the person that performed the initial assessment of the organization for that year.

Assessment data: This is the resource or resources used to perform the assessment. Examples of resources include, single audit reports, Financial Statements, Questionnaire's or online databases like the Federal Audit Clearinghouse.

Annual review: These are the internal comments entered by the reviewers to support the rating status

HUSMC approval date: This is the date of approval by the Harvard University Subrecipient Monitoring Committee (HUSMC). This committee reviews all red and yellow rated organizations or any organizations where a rating is changed after the initial assessment.

HUSMC approval: This indicates whether or not feedback from the HUSMC committee has impacted the assessment status rating.

HUSMC quarterly comments: These are comments made during quarterly HUSMC meetings related to report outs of the organizations and individual awards.

- 5. Additionally, information about audit relationships can be found by expanding the audit organization panel:

Massachusetts General Hospital

Related links | Info | Actions

Related segments 1424 total

Risk assessments

Audit organization information

Audit organization	Partners Healthcare System
Associated audit organizations	Spaulding Rehabilitation Hospital Volunteer Services Brigham and Women's Hospital, Inc The McLean Hospital Corporation Ragon Institute of MGH, MIT and Harvard MGH Chelsea Healthcare Center

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2017	2016	Green	Jun 19, 2017	

Reviewer's name	Christopher Finn
Assessment data	Federal Audit Clearinghouse form 2016, 2016 single audit report
Annual review	Partners Heathcare had one federal award finding related to two instances of upgrading airfare from standard accommodations and a \$6.25 alcohol charge. The corrective action plan to reemphasize federal regulations and institutional policies and procedures for airfare and alcohol costs is adequate. The finding did not relate to any Harvard subaward. I see no reason to change the assessment from green.

In this view, the following information is provided:

Audit Organization: If the organization is subject to single audit, this field will indicate what entity the organization is audited under. In this example Massachusetts General Hospital is covered as part of the single audit for Partners Healthcare System. This information comes from the Federal Audit Clearing House (FAC).

Associated Audit organizations: These are other organizations with records in GMAS that share the same audit organization. Assessments are completed at the audit organization level and flow down to the associated audit organizations. Unique comments can be made to each associated organization to cite entity specific notes at any time as well.

Related Segments Panel

To view information on where else the organization is engaged with the university as a subrecipient a user can expand the Related Segments panel at the top of the organization page. Information about this feature can be found here: [Related Segments Section of the External Organizations Homepage](#)

Massachusetts General Hospital

[Related links](#) | [Info](#) | [Actions](#)

[Open all](#) | [Close all](#)

Related segments

1099 total

	Pending	Active	Closed	Not funded
Sponsor	86	86	162	277
Prime sponsor	2	2	3	6
Subrecipient	52	81	163	179

[Download full list](#)